

No: 329/2025/LICOGI13-BCQT

Ha Noi, July 25, 2025

REPORT ON CORPORATE GOVERNANCE OF THE LISTED COMPANY
(First Half of 2025)

To: - State Securities Commission of Vietnam
- Hanoi Stock Exchange

- Name of the listed company: **LICOGI 13 Joint Stock Company**
- Head Office Address: 4th Floor, LICOGI 13 TOWER, Khuat Duy Tien Street, Nhan Chinh Ward, Thanh Xuan District, Hanoi City
- Telephone: 0243 854 4623
- Email: Tonghop@licogi13.com.vn
- Charter Capital: VND 950,845,690,000 (In words: Nine hundred fifty billion, eight hundred forty-five million, six hundred ninety thousand dong)
- Ticker Symbol: LIG
- Corporate Governance Model: General Meeting of Shareholders, Board of Directors, Supervisory Board, and Chief Executive Officer
- Internal Audit Function: Implemented

I. Activities of the General Meeting of Shareholders (GMS).

Information on meetings and Resolutions/Decisions of the GMS (including resolutions adopted via written ballot):

No.	Resolution No.	Date	Main Content
1	27/NQ-LICOGI13-ĐHĐCĐ	30/6/2025	1. Approved the following annual matters: + Approval of 2024 business performance report, audited consolidated financial statements for 2024, profit distribution plan of the parent company, and 2025 business plan + Approval of contracts/transactions between LICOGI13 and subsidiaries, associates/related parties conducted in 2024

No.	Resolution No.	Date	Main Content
			<ul style="list-style-type: none"> + Remuneration for the Board of Directors (BOD), Supervisory Board (SB), Corporate Secretary, and BOD supporting team for 2025 + Authorization to BOD to select an approved audit firm for 2025 + Approval of share transfer of LICOGI13's ownership in: <ul style="list-style-type: none"> • LICOGI 13 – CMC (574,949 shares – 16.65% charter capital) • LICOGI 13 – ICI (4,090,000 shares – 45.43% charter capital) • LICOGI 13 – IMC (100% ownership, equivalent to 2,084,000 shares) + Capital contribution to establish LICOGI 13 Hoa Lu JSC (98% capital) to implement real estate projects in Ninh Binh and nearby areas + Capital contribution to establish Quán Ngang 3 Investment JSC (52% capital) for the Quan Ngang 3 Industrial Park Project + Approval of 100% divestment in Construction Vocational School 1 Co., Ltd. + Capital contribution to maintain 51% ownership in LICOGI13FC during 2025 capital increase + Approval to purchase additional shares in LICOGI 13 – ITC, raising ownership to 10% + Amendment and supplementation of company charter and business lines + Approval of SB's 2024 activity and supervision report + Authorization to the BOD for certain GMS-delegated matters + Approval of the list of BOD members for the 2025–2029 term + Approval of the list of SB members for the 2025–2029 term

No.	Resolution No.	Date	Main Content
			+ Assignment of implementation responsibility to BOD as per approved resolutions

II. Board of Directors (BOD).

1. Information on BOD Members.

No.	Member	Position	Appointment Date	Dismissal Date
1	Pham Van Thang	Chairman	26/06/2020	
2	Bui Dinh Son	Member	Before 30/03/2010	
3	Nguyen Van Hiep	Member	Before 30/03/2010	
4	Nguyen Quoc Hung	Member	Before 30/03/2010	
5	Vu Tuan Duong	Member	Before 30/03/2010	
6	Nguyen Thanh Tu	Member	22/04/2010	
7	Do Thanh Ha	Member	26/06/2020	

Note: March 30, 2010 is the date LICOGI 13 was listed on Hanoi Stock Exchange

2. BOD Meetings.

No.	Member	Position	Number of Board Meetings Attended	Attendance Rate	Reason for Non-Attendance
1	Pham Van Thang	Chairman	13	100%	
2	Bui Dinh Son	Member	13	100%	
3	Nguyen Van Hiep	Member	13	100%	
4	Nguyen Quoc Hung	Member	13	100%	
5	Vu Tuan Duong	Member	13	100%	
6	Nguyen Thanh Tu	Member	13	100%	
7	Do Thanh Ha	Member	13	100%	

3. BOD Supervision of Executive Management

- BOD assigned specific monitoring duties to members
- Regular meetings to evaluate performance against annual goals approved by GMS
- Consultations and approvals for executive proposals as per legal and corporate charter regulations
- Site visits to major projects to assess progress and provide support to executive management

4. BOD Sub-Committees: None

5. BOD Resolutions & Decisions

a) Resolutions:

No.	Resolution No.	Date	Content	Approval Rate
1	01/2025/NQ-LICOGI13-HĐQT	04/01/2025	Re: Purchase of additional shares in Global Petroleum Energy JSC	100%
2	02/2025/NQ-LICOGI13-HĐQT	17/01/2025	Re: Pledging LICOGI13's equity interest in Song Nhiem 3 Joint Stock Company and providing a guarantee for the full repayment obligations of Song Nhiem 3 Joint Stock Company to OCB Bank – Cho Lon Branch.	100%
3	03/2025/NQ-LICOGI13-HĐQT	09/3/2025	Re: Transfer of 100% equity in LICOGI 13 – IMC	100%
4	04/2025/NQ-LICOGI13-HĐQT	21/3/2025	Re: Approval of the proposal by Saigon Thanh Dat Agricultural Investment Joint Stock Company to contribute capital to establish a new enterprise	100%
5	05/2025/NQ-LICOGI13-HĐQT	29/3/2025	Re: Extension of the deadline for organizing the 2025 Annual General Meeting of Shareholders of LIG	100%
6	06/2025/NQ-LICOGI13-HĐQT	31/03/2025	Re: Approval of a loan, provision of guarantee, and appointment of a representative to sign contracts with	100%

No.	Resolution No.	Date	Content	Approval Rate
			Southeast Asia Commercial Joint Stock Bank – Lang Ha Branch	
7	07/2025/NQ-LICOGI13-HĐQT	10/4/2025	Re: Pledging all LICOGI13's shares in Song Nhiem 3 Joint Stock Company and guaranteeing the full repayment obligations of Song Nhiem 3 Joint Stock Company to OCB Bank – Cho Lon Branch	100%
8	08/2025/NQ-LICOGI13-HĐQT	17/4/2025	Re: Signing an addendum to the mortgage agreement of LICOGI13 at BIDV – Thanh Xuan Branch	100%
9	09/2025/NQ-LICOGI13-HĐQT	28/4/2025	Re: Transfer of the office floor on the 15th floor of LICOGI13 Tower	100%
10	10/2025/NQ-LICOGI13-HĐQT	09/5/2025	Re: Capital contribution to establish project company for Quán Ngang Industrial Park Phase 3	100%
11	14/2025/NQ-LICOGI13-HĐQT	28/5/2025	Re: Acquisition of additional shares in LICOGI13FC in the 2025 charter capital increase	100%
12	16/2025/NQ-LICOGI13-HĐQT	09/6/2025	Re: Acquisition of additional shares in LICOGI13 Technology Investment Joint Stock Company in the 2025 charter capital increase	100%

b) Decisions:

No.	Decision No.	Date	Content	Approval Rate
1	01/2025/QĐ-LICOGI13-HĐQT	15/01/2025	Re: Guarantee loan for LICOGI13 Thuan Phuoc 2-Member Co., Ltd	100%
2	02/2025/QĐ-LICOGI13-HĐQT	17/3/2025	Re: Capital contribution to establish LICOGI 13 Hoa Lu Joint Stock Company and appointment of an authorized representative	100%

No.	Decision No.	Date	Content	Approval Rate
3	145/QĐ-LICOGI13-HĐQT	03/4/2025	Re: Dismissal of Mrs. Nguyen Thi Thom from the position of Chief Accountant of LICOGI13 Joint Stock Company	100%
4	146/2024/QĐ-LICOGI13-HĐQT	03/4/2025	Re: Appointment of Ms. Lai Thi Tho as Chief Accountant	100%
5	180/QĐ-LICOGI13-HĐQT	17/4/2025	Re: Reappointment of Mr. Pham Van Thang as CEO	100%
6	181/QĐ-LICOGI13-HĐQT	17/4/2025	Re: Reappointment of Mrs. Nguyen Thanh Tu as Deputy General Director of LICOGI 13 Joint Stock Company	100%
7	182/QĐ-LICOGI13-HĐQT	17/4/2025	Re: Reappointment of Mr. Do Thanh Ha as Deputy General Director of LICOGI 13 Joint Stock Company	100%
8	183/QĐ-LICOGI13-HĐQT	17/4/2025	Re: Reappointment of Mr. Tran Quang Huy as Deputy General Director of LICOGI 13 Joint Stock Company	100%
9	184/QĐ-LICOGI13-HĐQT	17/4/2025	Re: Reappointment of Mrs. Trần Thị Vân Anh as Head of Planning and General Department of LICOGI 13 Joint Stock Company	100%

III. Supervisory Board (SB)

1. SB Members

No.	Member	Position	Term Start	Qualification
1	Nguyen Thi Thom	Head (2025–2029)	30/06/2025	BA in Economics
2	Duong Thi Phuong	Head (2020–2024)	24/04/2018	BA in Economics
3	Dinh Thi Kim Anh	Member (2020–2024)	25/04/2015	LL.B.

4	Le Van Cuong	Member (2020–2029)	26/06/2020	BA in Economics
5	Hoang Thi Tuyen	Member (2025–2029)	30/06/2025	Construction Economics Engineer

Note: Ms. Phuong and Ms. Kim Anh ended their term on June 30, 2025.

2. SB Meetings

No.	Member of the Supervisory Board	Date Started as SB Member	Number of SB Meetings Attended	Attendance Rate	Reason for Absence from Meetings
1	Nguyen Thi Thom	Từ 30/6/2025	1	100%	-
2	Duong Thi Phuong	Từ 24/04/2018	1	100%	-
3	Dinh Thi Kim Anh	Từ 25/04/2015	1	100%	-
4	Le Van Cuong	Từ 26/6/2020	1	100%	-
5	Hoang Thi Tuyen	Từ 30/6/2025	1	100%	-

3. SB Oversight of BOD and Executive Board

- Monitored implementation of annual objectives from GMS resolutions
- Tracked compliance with laws and corporate charter by BOD and executives
- Assessed decision-making processes against legal standards

4. Coordination between the Supervisory Board and the Board of Directors, Executive Management, and Other Managerial Personnel:

- The Board of Directors (BOD) and the Executive Management (CEO and management team) proactively provide relevant information and documentation related to the implementation of the annual strategic programs in accordance with the resolutions of the Annual General Meeting of Shareholders (AGM).
- The BOD and Executive Management proactively provide information and documentation pertaining to the issuance of resolutions and decisions.
- Based on the materials and information provided, the Supervisory Board (SB) reviews and evaluates the level of implementation and compliance with the Company's Charter and applicable corporate laws.

5. Other Activities: None

IV. Executive Board

No.	Member	Date of Birth	Qualification	Appointment Date
1	Pham Van Thang	12/08/1977	Business Administration Engineer	22/6/2010
2	Do Thanh Ha	27/10/1973	Construction Engineer	22/4/2014
3	Nguyen Thanh Tu	10/02/1980	Master of Economics	22/4/2010
4	Le Xuan Thanh	27/5/1979	MSc. in Electronics & Telecom	30/3/2010
5	Nguyen Nam Son	30/8/1961	Bachelor of Law & Political Science	01/7/2021
6	Tran Quang Huy	17/8/1972	Hydraulic Engineering Engineer	30/3/2010

V. Reason for Absence from Meetings

No.	Full Name	Position	Professional Qualification	Appointment Date as Chief Accountant
1	Lai Thi Tho	Chief Accountant	Bachelor of Finance and Accounting	03/4/2025

VI. Corporate Governance Training

Corporate governance training courses attended by members of the Board of Directors, Supervisory Board, Executive Management (General Director), other senior managers, and the Company Secretary as required by corporate governance regulations: **None.**

VII. List of Related Persons of the Public Company and Transactions between Related Persons and the Company

1. List of related persons of the company: **(Appendix 1 – attached to this report)**
2. Transactions between the company and its related persons; or between the company and major shareholders, insiders, or related persons of insiders: **None**
3. Transactions between insiders or related persons of insiders of the listed company and subsidiaries or companies controlled by the listed company: **None**
4. Transactions between the company and other related parties:

4.1 Transactions between the company and other companies where members of the Board of Directors, the Supervisory Board, or the Executive Management have been or are founders or members of the Board of Directors or Executive Management within the past three (03) years (as of the reporting date): **None**

4.2 Transactions between the company and other companies where related persons of members of the Board of Directors, the Supervisory Board, or Executive Management are members of the Board of Directors or Executive Management: **None**

4.3 Other transactions (if any) that may bring material or immaterial benefits to members of the Board of Directors, Supervisory Board, or Executive Management: **None**

VIII. Share Transactions by Insiders and Related Persons (Annual Report)

1. List of insiders and related persons of insiders: **(Appendix 2 – attached to this report)**
2. Share transactions conducted by insiders and their related persons involving the listed company's shares: **None**

IX. Other Noteworthy Issues: None.

CHAIRMAN OF THE BOARD OF DIRECTORS



CHỦ TỊCH HĐQT
Phạm Văn Lăng